SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

1		3233-0207
	Estimated average burde	en
	hours per response:	0.5

	ess of Reporting Per	son*	2. Issuer Name and Ticker or Trading Symbol		tionship of Reporting Pe	rson(s) to Issuer
<u>Macchiavern</u>	<u>ia Stephen</u>		Freshpet, Inc. [FRPT]	ľ	Director Officer (give title	10% Owner Other (specify
(Last) C/O FRESHPE	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/06/2019	- X	below) Sr. VP, Controller	below)
	RIVE, FLOOR 1					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	ridual or Joint/Group Filin Form filed by One Reg	
SECAUCUS	NJ	07094			Form filed by More that Person	0
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code V Amount (A) or Price		Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)			
common stock	03/06/2019		М		17,922	A	\$7.1	90,860	D		
common stock	03/06/2019		S		17,922	D	\$40	72,938	D		
common stock	03/07/2019		М		7,078	A	\$7.1	80,016	D		
common stock	03/07/2019		S		7,078	D	\$40	72,938	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cais, warants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options to purchase common stock	\$7.1	03/06/2019		М			17,922	(1)	01/01/2021	Common Stock	17,922	\$0	17,492	D	
Options to purchase common stock	\$7.1	03/07/2019		М			7,078	(1)	01/01/2021	Common Stock	7,078	\$0	10,414	D	

Explanation of Responses:

1. The options were originally issued pursuant to the Issuer's 2010 Stock Option Plan as performance based awards. On December 30, 2016, the awards were amended from performance based awards to time vested awards. The awards as amended vested on December 31, 2018.

/s/ Richard A. Kassar, as Attorney-in-fact for the

03/07/2019

Date

<u>Reporting Person</u> ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.