SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

BREWSTER DARYL G			Date of Event Requiring Stater Month/Day/Yea 1/06/2014	ment	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Freshpet, Inc.</u> [FRPT]						
(Last) C/O FRESHP	1 A A A A A A A A A A A A A A A A A A A	(Middle)			4. Relationship of Reporting Pers (Check all applicable) X Director		on(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
400 PLAZA DRIVE, FLOOR 1						Officer (give title below)	Other (spe below)	App	licable Line)	t/Group Filing (Check	
(Street)									Form filed b	y One Reporting Person	
SECAUCUS	NJ	07094							Form filed b Reporting P	y More than One erson	
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned       (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit			4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Series C Prefei	rred Stock <sup>(1)</sup>		(1)	(1)		Common Stock	21,587	7.1	D		
Option to Purc	hase Common	Stock	(2)	12/31/2020		Common Stock	9,658	7.1	D		
Explanation of P											

explanation of Responses:

1. The Series C Preferred Stock is convertible at any time and form time to time, and without the payment of additional consideration by the holder thereof, into such number of fully paid and nonassessable shares of Common Stock as is determined by dividing the Series C Original Issue Price by the Series C Conversion Price (as defined in the Second Amended and Restated Certificate of Incorporation of the Issuer) in effect at the time of conversion. The Common Stock underlying the Series C Preferred Stock has been adjusted for a 1-for-0.7396 stock split. 2. The option vests in four equal annual installments beginning December 31, 2011.

**Remarks:** 

/s/ Richard Kassar, as Attorney-in-fact for the **Reporting Person** 

11/06/2014

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.