SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add <u>Hieger Mic</u>		ting Person [*]	2. Date of Event Requiring Stater (Month/Day/Yea 11/06/2014	ment	3. Issuer Name and Ticker or Trading Symbol <u>Freshpet, Inc.</u> [FRPT]					
(Last) (First) (Middle) C/O FRESHPET, INC.					4. Relationship of Reporting Pers (Check all applicable) Director	on(s) to Issue 10% Owne	(Mc	5. If Amendment, Date of Original Filed (Month/Day/Year)		
400 PLAZA I	ORIVE, FLO	OOR 1			X Officer (give title below)	Other (spe below)	² 0. II	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street)			-		See Remarks			X Form filed by One Reporting Person		
SECAUCUS	NJ	07094						Form filed b Reporting P	y More than One erson	
(City)	(State)	(Zip)	_							
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4) Grund Strate (I) (Instr. 5)		cṫ(D) (Inst	(Instr. 5) 4. Nature of Indirect Beneficial Ownership		
Common Stock					5,018	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exerce Expiration Da (Month/Day/	ate	3. Title and Amount of Securi Underlying Derivative Securi		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiratior Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)			
Series C Preferred Stock ⁽¹⁾		(1)	(1)	Common Stock	2,436	7.1	D			
Option to purc	hase Comm	on Stock	(2)	04/29/2017	7 Common Stock	10,361	6.27	D		
Option to purc	hase Comm	on Stock	(3)	12/31/2020	Common Stock	8,371	7.1	D		
Option to purc	hase Comm	on Stock	(4)	08/31/2020	Common Stock	8,598	2.91	D		

Explanation of Responses:

1. The Series C Preferred Stock is convertible at any time and from time to time, and without the payment of additional consideration by the holder thereof, into such number of fully paid and nonassessable shares of Common Stock as is determined by dividing the Series C Original Issue Price by the Series C Conversion Price (as defined in the Second Amended and Restated Certificate of Incorporation of the Issuer) in effect at the time of conversion. The Common Stock underlying the Series C Preferred Stock has been adjusted for a 1-for-0.7396 stock split.

2. The option vests in five equal annual installments beginning April 30, 2008.

3. The option vests in four equal annual installments beginning December 31, 2011.

4. The option vests in five equal annual installments beginning September 1, 2011.

Remarks:

Senior Vice President of Manufacturing Operations

<u>/s/ Richard Kassar, as</u> <u>Attorney-in-fact for the</u> <u>Reporting Person</u>

11/06/2014

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.