## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB Number: 2225 0207

| 1   | ss of Reporting Perso | n*             | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>Freshpet, Inc.</u> [FRPT] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |  |                             |  |  |
|---|-----------------------|----------------|--|---|--|-----------------------------|--|--|
| KASSAR RICHARD A  |                       |                |  | x   | Director<br>Officer (give title  | 10% Owner<br>Other (specify |  |  |
| (Last) (First) (Middle)<br>C/O FRESHPET, INC.<br>400 PLAZA DRIVE, FLOOR 1 |                       | (Middle)       | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/20/2018                     |   | below)<br>Chief Financia   | below)<br>l Officer         |  |  |
| (Street)<br>SECAUCUS<br>(City)  | NJ<br>(State)         | 07094<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)                           | 6. Indiv<br>Line)<br>X  | vidual or Joint/Group Filir<br>Form filed by One Rep<br>Form filed by More tha<br>Person | porting Person              |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | Date | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following        | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|------|---|--------------|---|---|---------------|-------|--|---|---|
|                                 |      |   | Code         | v | Amount  | (A) or<br>(D) | Price | <ul> <li>Reported<br/>Transaction(s)<br/>(Instr. 3 and 4)</li> </ul> |   | (Instr. 4)  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Numl<br>of<br>Derivati<br>Securiti<br>Acquire<br>(A) or<br>Dispose<br>of (D) (II<br>3, 4 and | Expiration Date<br>(Month/Day/Year)<br>ired<br>issed<br>(Instr. |                     | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(S)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|---|---|---------------------|---|-----------------|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A)   | (D)   | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$9.05  | 02/20/2018                                 |   | A                            |   | 11,764  |   | 02/20/2018          | 05/10/2026  | Common<br>Stock | 11,764  | \$0  | 11,764 <sup>(1)</sup>  | D  |  |

**Explanation of Responses:** 

1. On May 10th, 2016 the reporting person was granted an option to purchase 35,292 shares of common stock. The option vests in three equal annual installments based on FRPT's satisfaction of certain performance criteria for each of the fiscal years ending December 31, 2016, 2017, 2018. The performance criteria for 2017 were met as verified by FRPT's Compensation Committee of Board Of Directors, resulting in vesting of the option as to 11,764 shares.



Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Instruction 1(b).

## OMB APPROVAL

|                   | UNB NUMBER 3235          |         |     |  |  |  |  |
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|                   | Estimated average burden |         |     |  |  |  |  |
|                   | hours per response:      |         | 0.5 |  |  |  |  |
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| p of R<br>blicabl | eporting Person(s) to I  | ssuer   |     |  |  |  |  |
| ator.             | 100/ /                   | <b></b> |     |  |  |  |  |